









No.	Title of the report	Service Field	Audit Body	Date of the response to draft	Copy of the report	Date of accepting the final report and contact audit individual person	Responsibility for Action/contact person in the Council	Internal steps with raising awareness (management group, Leadership group)	Nature of contact with members (copy only, submit to a scrutiny committee [&date], Board [&date])	Implementing recommendations included in the business plan.	Monitoring progress
A. ANNUALLY (Gwynedd)											
1	Gwynedd Council Annual Improvement Report 2009/10	3 Year Plan / the Council's Self assessment	Wales Audit Office	Meetings and phone discussions, final published on 27/01/2011	http://www.wao.gov.uk/assets/englishdocuments/GwyneddCC_AIR_English.pdf	17/01/2011 Huw Lloyd Jones	Vera Jones	Leadership Group 19/01/2011 Publish on the website - 27/01/2011	A letter inviting all members to a presentation - 08/02/2011 Hard copies for all members - 23/03/11 A Presentation for Board Members, The Audit Committee, Chairs and Vice-chairs of the Scrutiny Committees, Chairman and Vice-chairman of the Council, Heads of Service and Corporate Directors - 05/04/2011	Transformation Programme Board. Strategic and Improvement Business Plan.	Three Year Plan
2	Gwynedd Council Annual Improvement Report 2010/11	3 Year Plan / the Council's Self assessment	Wales Audit Office	January 2012 Draft	http://www.wao.gov.uk/assets/englishdocuments/Gwynedd_AIR_English_2012.pdf		Vera Jones	15/2/2012 Leadership Group	All Council members - meet at the time of the Board 6/3/12		
3	Inspection, Evaluation and Review of Local Authorities' Social Services 2009-10.	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/cssiwebsite/newcssiweb/publications/lareviews/0910/gwynedd/?skip=1&lang=cy	22/12/2010	Gwen Carrington		Care Scrutiny Committee 07/04/11; Children and Young People Scrutiny Committee 12/04/11.	Housing and Social Services Business Plan	Housing and Social Services Business Plan
4	Inspection and Evaluation of Annual Performance 2010/2011	Social Services	Care and Social Services InspectorateWales		http://wales.gov.uk/cssiwebsite/newcssiweb/publications/lareviews/2011/5530270/?lang=en	31/10/2011	Gwen Carrington	Care Programme Board 8/11/11 Care Working Group 25/11/11	Care Scrutiny Committee 29/11/11		Action Plan. Meeting with the Minister 13/3/12
5	Inspection and Evaluation of Annual Performance 2011/2012	Social Services	Care and Social Services InspectorateWales		To arrive during week 31st October 2012		Morwena Edwards				Housing and Social Services Business Plan
6	Gwynedd Council Preliminary Corporate Assessment	The Council	Wales Audit Office	13/08/2010	2010-09-30 2010-09-30 Cyngor Gwynedd	02/09/2010 Huw Lloyd Jones aND Alan Morris	Geraint George	Leadership Group (date)	Principal Scrutiny Committee 30/9/2010		
7	Gwynedd Council Preliminary Corporate Assessment	The Council	Wales Audit Office		Asesiad Corfforaethol Swydfa Archwilio	06/10/2011 Huw Lloyd Jones	Geraint George	Leadership Group 07/09/2011	Principal Scrutiny Committee 6/10/11		
8	Welsh Public Libraries Standards Framework	Libraries and Information	CyMAL		http://wales.gov.uk/docs/drah/publications/110223wpls2101112en.pdf	21/01/2012	Hywel James	Portfolio Leader and Head of Department	Preparatory Meeting for the Scrutiny Committee on 1/9/2011 for performance matters (conditional on the Scrutiny Manager and the Scrutiny Working Group), Council Board for financial matters. The Preparatory Meeting decided that there was no need to submit it to the Scrutiny Committee.	Library and Information Service Business Plan	Library and Information Service Business Plan
9	An Assessment of Internal Audit 2007/2008	Internal Audit	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgorau/2008/Pwyllgor%20Archwilio/2008-06-26/english/11_01_Wales%20Audit%20Office%20Review%20of%20Gwynedd%20Council's%20Internal%20Audit%20Service.pdf	18/6/2008, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 26/6/2008		Annual Report of the Head of Internal Audit 2008/09, Audit Committee 29/6/2009
10	An Assessment of Internal Audit 2008/2009	Internal Audit	Wales Audit Office		http://www.gwynedd.gov.uk/ADNPwyllgorau/2009/Pwyllgor%20Archwilio/2009-06-29/english/13_01_Wales%20Audit%20Office%20Review%20of%20Gwynedd%20Council%20Internal%20Audit%20Service.pdf	22/6/2009, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 29/6/2009		Annual Report of the Head of Internal Audit 2009/10, Audit Committee 8/7/2010
11	An Assessment of Internal Audit 2009/2010	Internal Audit	Wales Audit Office	25/06/2010	http://www.gwynedd.gov.uk/ADNPwyllgorau/2010/Pwyllgor%20Archwilio/2010-07-08/english/11_01_Wales%20Audit%20Office%20Review%20of%20Gwynedd%20Council%20Internal%20Audit%20Service.pdf	25/6/2010, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 8/7/2010		Annual Report of the Head of Internal Audit 2010/11, Audit Committee 12/7/2011
12	An Assessment of Internal Audit 2010/2011	Internal Audit	Wales Audit Office	02/06/2011	http://www.gwynedd.gov.uk/ADNPwyllgorau/2011/Pwyllgor%20Archwilio/2011-07-12/english/11_01_Assessment%20of%20Internal%20Audit%202010-11.pdf	6/6/2011, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 12/7/2011		Annual Report of the Head of Internal Audit 2011/12, Audit Committee 12/7/2012
13	An Assessment of Internal Audit 2011/2012	Internal Audit	Wales Audit Office	12/06/2012	http://www.gwynedd.gov.uk/ADNPwyllgorau/2012-13/Pwyllgor%20Archwilio/2012-07-12/english/15_01_Wales%20Audit%20Office%20Review%20of%20Gwynedd%20Council%20Internal%20Audit%20Service.pdf	6/6/2012, Amanda Hughes	Dewi Morgan	Cascade within Internal Audit	Audit Committee 12/7/2012		No recommendations

14	Audit of Financial Statements Report - Gwynedd Council 2010-11	Finance	Wales Audit Office	http://www.gwynedd.gov.uk/ADNPwylgorgorau/2011/Pwylgorgorau/2011-09-29/english/05_04_(b)(i)%20Gwynedd%20Council%20Financial%20Statements.pdf	September 2011, Anthony Barrett	Dafydd L Edwards		Audit Committee 29 September 2011		
15	Audit of the Financial Statements Report - Gwynedd Pension Fund 2010-11	Finance	Wales Audit Office	http://www.gwynedd.gov.uk/ADNPwylgorgorau/2011/Pwylgorgorau/2011-09-29/english/05_05_(c)(i)%20Statement%20of%20Accounts%20for%20the%20Pension%20Fund.pdf	September 2011, Anthony Barrett	Dafydd L Edwards		Audit Committee 29 September 2011		
16	Financial Audit Outline - Gwynedd Council	Finance	Wales Audit Office		May 2011, Anthony Barrett	Dafydd L Edwards	Officer attention within the Finance Department			
17	Financial Audit Outline - Gwynedd Pension Fund	Finance	Wales Audit Office		May 2011, Anthony Barrett	Dafydd L Edwards	Officer attention within the Finance Department			
18	Audit of the Accounting Statements - Gwynedd Council 2009-10	Finance	Wales Audit Office	http://www.gwynedd.gov.uk/ADNPwylgorgorau/2010/Pwylgorgorau/2010-09-27/english/07_03_(b)%20Audit%20of%20the%20Accounting%20Statements.pdf	September 2010, Ceri Stradling	Dafydd L Edwards		Audit Committee 27 September 2010		
19	Audit of Pension Fund Accounts 2009-10	Finance	Wales Audit Office	http://www.gwynedd.gov.uk/ADNPwylgorgorau/2010/Pwylgorgorau/2010-09-27/english/07_04_(c)%20Audit%20of%20Pension%20Fund%20Accounts.pdf	September 2010, Ceri Stradling	Dafydd L Edwards		Audit Committee 27 September 2010		
20	Annual Letter for 2008-09	Finance	Wales Audit Office		December 2009, Kevin Thomas & Huw Lloyd Jones	Arwel Ellis Jones				
21	Annual Audit Letter for members of Gwynedd Pension Fund	Finance	Wales Audit Office		November 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards	Officer attention within the Finance Department			
22	Audit of Accounting Statements - Report to those charged with Governance - Gwynedd Council 2008-09	Finance	Wales Audit Office	http://www.gwynedd.gov.uk/ADNPwylgorgorau/2009/Pwylgorgorau/2009-09-28/english/05_03_(ii)%20Audit%20of%20Accounting%20Statements%20-%20Report%20to%20those%20charged%20with%20governance%20-%20Gwynedd%20Council.pdf	September 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards		Audit Committee 28 September 2009		
23	Audit of Pension Fund accounts - Report to those charged with Governance 2008-09	Finance	Wales Audit Office	http://www.gwynedd.gov.uk/ADNPwylgorgorau/2009/Pwylgorgorau/2009-09-28/english/05_04_(iii)%20Audit%20of%20pension%20fund%20accounts%20-%20report%20to%20those%20charged%20with%20governance%20-%20Gwynedd%20Pension%20Fund.pdf	September 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards		Audit Committee 28 September 2009		
24	Pension Fund Audit Strategy 2008-09	Finance	Wales Audit Office		March 2009, Kevin Thomas & Amanda Hughes	Dafydd L Edwards	Officer attention within the Finance Department			
25	Gwynedd Council Annual Letter - 2007-08	Finance	Wales Audit Office		November 2008, Huw Lloyd Jones & Kevin Thomas	Arwel Ellis Jones				
26	Audit of Accounting Statements - Report to those charged with Governance - Gwynedd Council 2007-08	Finance	Wales Audit Office	http://www.gwynedd.gov.uk/ADNPwylgorgorau/2008/Pwylgorgorau/2008-09-30/english/06_03_(ii)%20Formal%20ISA%20260%20Report.pdf	September 2008, Kevin Thomas & Amanda Hughes	Dafydd L Edwards		Audit Committee 30 September 2008		

B. OCCASIONAL (Gwynedd)											
27	Joint Review of Gwynedd Council Social Services	Social Services	Care and Social Services Inspectorate Wales & Wales Audit Office			Natalie Cooper, Assistant Chief Inspector, Care and Social Services Inspectorate and Sue Roberts, Joint Review Team Leader, Pete Graham from Care and Social Services Inspectorate Wales and Val Connors and Huw Lloyd Jones from Wales Audit Office	Gwen Carrington	Leadership Group 30/4/08 and 25/6/2008. Improvement Programme	Council 16/10/08 : Commissioning Board was established under the Chief Executive and a Programme Board under Social Services Direction. Care S C 04/02/10 & 17/06/10, Children and Young People S C 24/5/10. A Scrutiny Working Group was established. A meeting with the Deputy Minister 29/3/10. Care Scrutiny Working Group; Care S.C. 13/2/12, Ch & YP S.C. 22/3/12. Care Scrutiny Working Group going to report finally to the Care S C on 29 March 2012.	3 Year Plan and Department Business Plan	3 Year Plan (3 Year Programme) and Department's Business Plan. Propose to the Care S C on 29 March 2012 that the Department monitors the 7 matters that are noted by the Inspectorate formally every month.
28	Quality and standards in education and training in Wales: A report on quality - Gwynedd Local Authority in 2008 and 2009 report including Youth Services	Education	Estyn		http://www.gwynedd.gov.uk/ADNPwyllgorau/2010/Pwyllgorau%20Craffu/Plant%20a%20Phobl%20fanc/2010-05-24/english/08_02_Appendix%201%20-%20Report%20by%20ESTYN.pdf	Nov-09	Dewi R Jones	Portfolio Leader	Children and Young People Scrutiny Committee, 24 May 2010	Action Plan, 24 May 2010	Departmental Business Plan
29	Inspection of the Protection of Adults in Gwynedd Council	Social Services	Care and Social Services Inspectorate Wales	Dec-09	http://wales.gov.uk/docs/cssiw/report/10018gwynedden.pdf	Huw Lloyd Jones from the Wales Audit Office	Nerys Evans January 2010		Portfolio Leader. Care S C 28/6/11: part of the Protection Of Vulnerable Adults Annual Report		
30	Inspection of the arrangements for assessing and managing the care of children in need in Gwynedd. It was inspected again in March 2011.	Social Services	Care and Social Services Inspectorate Wales	Apr-09	http://wales.gov.uk/docs/cssiw/report/110607gwynedden.pdf	Mark Roberts	Sharron Carter Williams		May-11	Marian Parry Hughes	Gwynedd and Anglesey Safeguarding Children Board 21 October 2011
31	Audit of Caernarfon Archives as a Designated Deposit	Archives	National Archives	Feb-08	 L:\Uned Rheol Perff a Phros\Rheol		Jun-08	Lynn C. Francis	Lynn C. Francis		
32	The Standard of Record Offices	Record Offices	National Archives				Aug-11	Lynne Francis	Press and internal statement.	Head of Service Portfolio Leader Heritage and Arts Improvement Working Group	
33	Review Gwynedd Council's Safeguarding Children Arrangements	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/docs/cssiw/publications/gwyneddsr/090925gwyneddsren.pdf		Oct-09	Ian Vaughan Roberts			
34	Review Gwynedd Local Safeguarding Children Board	Social Services	Care and Social Services Inspectorate Wales		http://wales.gov.uk/docs/cssiw/publications/gwyneddlscb/091006gwyneddlscben.pdf		Oct-09	Ian Vaughan Roberts			
35	Gwynedd Fostering Service	Social Services	Care and Social Services Inspectorate Wales		hard copy available		Shirley Harkins April 2008	Mari Thomas			
36	Gwynedd Fostering Service	Social Services	Care and Social Services Inspectorate Wales		 L:\Gwasanaeth Busnes\Uned Perffo		Shirley Harkins January 2010	Mari Thomas			
37	Inspection of Gwynedd County Council Adoption Services within the wider framework of planning for permanence	Social Services	Care and Social Services Inspectorate Wales		 L:\Gwasanaeth Busnes\Uned Perffo		Denise Stickels, Shirley Harkins, Shirley Cox November 2010	Mari Thomas			
38	Follow up review of Performance Management Arrangements in Social Services - Gwynedd Council	Social Services	Wales Audit Office		 L:\Gwasanaeth Busnes\Uned Perffo		Nigel Griffiths March 2010	Gwen Carrington			
39	Inspection Report on Gwynedd Specialist Children's Services Domiciliary Care Agency	Social Services	Care and Social Services Inspectorate Wales		 L:\Gwasanaeth Busnes\Uned Perffo		Linda Owen, Shirley Harkins February 2010	Iona Griffith			
40	Inspection Report on Gwynedd Specialist Children's Services Domiciliary Care Agency	Social Services	Care and Social Services Inspectorate Wales		 L:\Gwasanaeth Busnes\Uned Perffo		Linda Owen March 2011	Iona Griffith			

41	Inspection Report on Elderly Placement Plans - Gwynedd elderly placement plans - Dolgellau	Social Services	Care and Social Services Inspectorate Wales		Linda Owen and Rhiannon Fear March 2010	Ann Lloyd Jones				
42	Domiciliary Care Agency Gwynedd Specialist Childrens' Service	Social Services	Care and Social Services Inspectorate Wales		Mar-12	Iona Griffith				
43	Gwynedd Council and Ceredigion County Council's Joint Coastal Protection Study	Consultancy	Wales Audit Office	L:\UNED CRAFFU\Swyddfa Archwilio Cymru\2010 + h\u00f9n\Joint Study Coastal Protection final to GC 408A2008.pdf	May-08	Huw Williams		Environment S C 1 October 2009 and the Council Board 24 November 2009 and Area Committees of Dwyfor 28 September, Meirionnydd 9 November 2009, Arfon 9 December 2009	Environment Business Plan	
44	Follow up review of Coastal Protection Gwynedd Council	Consultancy	Wales Audit Office	hard copy available	Oct-09	Huw Williams		Environment Scrutiny Committee 2011	Environment Business Plan	
45	Review of the Civil Emergencies Arrangements	Customer Care	Wales Audit Office	http://www.gwynedd.gov.uk/ADNPwyllgorau/2009/Pwyllgorau%20Craffu/Adnoddau%20a%20Chorfforaethol%20-%20Prif%20Bwyllgor%20Craffu/2009-09-10/english/08_02_Appendix%201.pdf	01/08/2009 John Weston	Gwenan Parry				
46	A Review of the Major Emergency Plan	Customer Care	Wales Audit Officer 2009 Spencer Webster 2010		2009 and 01/05/2010	Gwenan Parry				
47	Gwynedd Council's Feedback on the Evaluation of Engaging the Wales Programme for Improvement		Wales Audit Office		2007/08					
48	Fleet Management	Highways and Municipal	Wales Audit Office	L:\UNED CRAFFU\Swyddfa Archwilio Cymru\2010 + h\u00f9n\Fleet Management 553A2008.pdf	2008					
49	Inspection of School Improvement, Additional Learning Needs and Support Services	Education	Estyn		Oct-09					
50	Tackling Child Poverty and disadvantages in Schools	Education	Estyn	L:\Uned Rheoli Perff a Phros\Rheoli Perfformiad\SAC - Swyddfa Archwilio Cymru\Adroddiadau 201011\Tackling child poverty and disa	Jan-10					
51	The Role of Scrutiny in the Work of Performance Monitoring	Strategic and Improvement	Wales Audit Office	15/07/2009	Huw Lloyd Jones and Nigel Griffiths	Geraint George		15/7/2009 Forum of Scrutiny Chairs and Vice Chairs		
52	Interim Perceptions and Opinions: Objection made by Mr P Rickard (Accounts 2006-07)	Finance	Wales Audit Office		September 2009, Anthony Barrett	Dafydd L Edwards	Officer attention within the Finance Department			

C. NATIONAL (a reference to Gwynedd Council in the report)											
53	Major Travel Projects (The two schemes for which Gwynedd Council received Transport Grant funding were the A497 Abererch – Llanystumdwy and A499 Aberdesach – Llanaelhaearn).	On both schemes Gwynedd Consultancy acted for what was then the Planning and Transportation Service, now Transport and Street Care. Other council departments were obviously involved in land acquisition, legal matters etc, but the department that liaised with Welsh Government regarding funding was Transport and Street Care, while Consultancy carried out design and construction supervision, including administration of the main works contracts.	Wales Audit Office	30/9/2010	http://www.wao.gov.uk/assets/englishdocuments/Transport_English.pdf	20/9/2010 Huw Lloyd Jones	Dave Meller			Head of Consultancy (reported on the same matters to the Environment Scrutiny Committee before the publication of the report)	
54	Housing Services for adults with mental health needs	Housing and Social Services	Wales Audit Office	Draft 10/08/10	http://www.wao.gov.uk/assets/englishdocuments/Housing_Mental_Health_eng_web.pdf	Nick Selwyn 13/8/2010	Alys Jones & Arwel Owen			Head of Department only	An action plan being prepared at the moment between the 6 Councils and Health Board
55	National study on arrangements for covering teacher absence in 2012	Education	Estyn and Wales Audit Office			Nigel Griffiths/ Steve Martin, Manager - National Studies	Hefin Owen				
56	Scrutiny Improvement Study during 2012/13 This work is developmental and will contribute towards developing self-assessment skills as well as scrutiny work.	Strategic and Improvement	Wales Audit Office	A report is expected in March / April 2013		Ena Lloyd, Regional Co-ordinator and Huw Lloyd Jones, Wales Audit Office	Gareth James				
57	From Vision to Action - Report of the Independent Commission on Social Services in Wales	Social Services	Independent Commission on Social Services in Wales			Nov-10					
58	Local Authority Reserves and Unsupported Borrowing - Research paper for Welsh Government	Finance	Wales Audit Office			June 2012, John Herniman & Anthony Veale.	Dafydd L Edwards	Officer attention within the Department			
59	Local Authority Accounts 2010-11	Finance	Wales Audit Office			Chwefror 2012, Huw Vaughan Thomas	Dafydd L Edwards	Officer attention within the Department			
60	Consultation on the Wales Audit Office Grants Strategy	Finance	Wales Audit Office			February 2011, Huw Vaughan Thomas	Dafydd L Edwards	Officer attention within the Department			
61	Sustained Impact in a Time of Change - WAO Strategy Consultation 2009-2012	Finance	Wales Audit Office			March 2009, Jeremy Colman	Vera Jones				
62	Statement of the responsibilities of the auditors appointed by the Auditor General for Wales and his inspectors, and of the bodies that they audit and inspect (2008)	Finance	Wales Audit Office			February 2009, Kevin Thomas	Harry Thomas				